

Know Your Client (KYC) Form

了解客戶(KYC)表格

The information below is required to comply with the Anti-Money Laundering Laws of the relevant jurisdiction, and to eliminate the risk that the legal operation of your company is affected in the future.

以下信息為符合相關司法地區《打擊洗錢及恐怖分子資金籌集條例》(第 615 章)，並消除貴公司未來合法運營受到影響的風險。

Customer Information 客戶信息	
Company Name (公司名稱)	
Incorporation/ Registration No. 註冊號碼	

1. Nature of Activities of Entity (please tick all applicable boxed) (詳細業務性質 - 請剔選適當的項目)

Hold shares in private companies. Please provide details below as to the location and business activity/ies of the companies:

持有私人公司股份；請提供該私人公司的所在地和業務性質：

Hold Investments (listed stocks, bonds, or other financial products.) Please provide details below as to nature and location of investments and of the relevant Exchange (if applicable);

投資 (上市股票，債券或其他金融產品)；請提供該投資的性質及地區和相關交易所名稱(如適用)；

Own Real Estate Property. Please advise details including location of real estate:

持有房地產；請提供詳情包括該房地產的所在地：

Own non-commercial vessel/craft. Please provide further details below as to location and purpose of vessel/craft registration:

持有非商業用的船隻；請提供詳情包括船隻的所在地和持有該船隻的目的；

Hold Trust assets. Please advise details below as to the nature and location of assets:

持有信託資產；請提供詳情包括信託資產的性質和所在地：

Charitable or non-profit purposes. Please provide further details below:

慈善或非牟利用途；請提供詳情：

Trading. Please provide details below, including product traded and place of business:

貿易；請提供詳情包括商品類型和業務所在地/營業地點：

Consultancy Services. Please provide details below as to nature of consultancy services provided:

諮詢服務；請提供詳情包括提供諮詢的性質/類型：

Other (please specify)

其他 (請特別說明)：

2. **Geographic Location of Business Activities (list countries in which the activities will take place)**
業務進行地 (那地區, 那個國家)

3. **Estimated Financial Figure (預計財務數字)**

Annual turnover 每年營業額	<input type="checkbox"/> <HKD2,000,000 或以下	<input type="checkbox"/> HKD2,000,001-HKD20,000,000	<input type="checkbox"/> >HKD20,000,000 或以上
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4. **Source of Funds (資金來源)**

Please explain the source of funds. Whatever start up company or daily operation, where is the funds come from?
請說明詳細的資金來源。不論公司的成立, 還是日常的運作, 都需要動用資金。那麼, 錢從何來?

<input type="checkbox"/> Salary / Commission 薪金 / 佣金	<input type="checkbox"/> Funding from shareholder(s) 股東資金	<input type="checkbox"/> Return on investments 投資回報
<input type="checkbox"/> Sales of real estate 出售不動產	<input type="checkbox"/> Funding from related company 關聯企業資金	<input type="checkbox"/> Others (Please specify) 其他 (請說明)

5. **Beneficial Owner(s) are the same as the Shareholder(s)? (最終擁有人=股東?)**

Yes 是 No 否

*If no, please provide provide identification including HKID/Passport, address proof (the latest 3 months) of the Beneficial Owner(s).

*如否, 請提供身份證明, 包括香港身份證/護照、地址證明 (最近 3 個月)

	Name (姓名)	Residential Address (住址)	Phone No: (Mobile) (手提電話)	E-mail Address (電郵地址)	Number of Share(s) Held (持股量)
1.					
2.					

Politically Exposed Persons (政治人物)

**Is the Director, Shareholder, Beneficial Owner or any party connected to the entity, a Politically Exposed Person (PEP)?

請確認董事、股東、最終實益擁有人或任何關連人士是否為政治人物？

A PEP Includes the following:

政治人物包括以下人士：

- (1) A person who is or has been entrusted with any prominent public function in Hong Kong, a country or territory outside Hong Kong ; or
在香港或香港以外地方擔任或曾擔任重要公職的個人；或
- (2) A spouse, a partner, a child or a parent of an individual falling within paragraph (1) above, or a spouse or a partner of a child of such an individual; or
上文(1)段所指的個人的配偶、伴侶、子女或父母，或該名個人的子女的配偶或伴侶；或
- (3) A close associate of an individual falling within paragraph (1) above.
與上文(1)段所指的個人關係密切的人。

YES – Please complete below section of each PEP **

是 - 請就每位政治人物填寫以下**部份

NO – I declare that no PEP connected to the Entity.

否 - 本人謹此確認本公司沒有任何關連的政治人物。

****Information on Political Exposed Person (PEP) 政治人物的相關資料**

1.	Name of PEP 政治人物姓名	:	
2.	Country which PEP holds Prominent public function 擔任政治人物的所在地	:	
3.	Describe nature of prominent public function that the person is or has been entrusted with (e.g. as a domestic PEP, a foreign PEP, or a PEP of an international organisation) 重要公職的類別 (如：國家元首、政府首長、資深從政者、高級政府、司法或軍事官員、國有企業高級行政人員及重要政黨幹事)	:	
4.	Period of time in which the person is/was a PEP 擔任政治人物的時期	:	
5.	Information on the person's source of wealth 該政治人物的財富來源	:	
6.	Information on the person's source of funds in the proposed business relationship 該政治人物就成立公司所需資本的資金來源	:	